

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

NORRIS KAYE LEWIS,

Plaintiff,

V.

MICHAEL J. ASTRUE,
Commissioner of Social Security,

Defendant.

Case No. CV 08-3823-JTL

MEMORANDUM OPINION AND ORDER

PROCEEDINGS

On June 19, 2008, Norris Kaye Lewis (“plaintiff”) filed a Complaint seeking review of the Social Security Administration’s denial of her applications for Supplemental Security Income benefits and Disability Insurance Benefits. On July 8, 2008, plaintiff filed a Consent to Proceed Before United States Magistrate Judge Jennifer T. Lum. On August 10, 2008, Michael J. Astrue, Commissioner of Social Security (“defendant”), filed a Consent to Proceed Before United States Magistrate Judge Jennifer T. Lum. Thereafter, on January 5, 2009, defendant filed an Answer to the Complaint. On March 18, 2009, the parties filed their Joint Stipulation.

The matter is now ready for decision.

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BACKGROUND

On July 28, 2006, plaintiff protectively filed applications for Disability Insurance Benefits and Supplemental Security Income benefits alleging an onset date of January 15, 2005, due to seizures, back pain, and high blood pressure. (See Administrative Record ["AR"] at 52, 92-94, 95-99, 112). The Commissioner denied plaintiff's applications for benefits initially on November 1, 2006. (AR at 50-53). Thereafter, plaintiff requested a hearing before an Administrative Law Judge ("ALJ"). (AR at 59).

On December 4, 2007, the ALJ conducted a hearing in Los Angeles, California. (See AR at 22-49). Plaintiff appeared at the hearing with counsel and testified. (AR at 24, 30-44). A medical expert, Harvey Alprin, M.D., and a vocational expert, Heidi Paul, also testified at the hearing. (AR at 25-29, 44-48). On January 11, 2008, the ALJ issued a decision denying benefits to plaintiff. (AR at 13-19). In his decision, the ALJ determined that plaintiff had the following severe impairments: headaches, neck pain, and a history of seizure disorder and urinary incontinence and hypertension. (AR at 16). The ALJ determined that plaintiff did not have a medically determinable mental impairment that caused more than minimal limitations. (Id.). The ALJ also determined that plaintiff did not have an impairment or combination of impairments that meet or equal the criteria contained in the Commissioner's Listing of Impairments, 20 C.F.R. Section 404, Subpart P, Appendix 1. (Id.). The ALJ then concluded that plaintiff retained the residual functional capacity to perform medium work,¹ including her past relevant work as an office clerk routine, change person, income tax preparer, fast food worker, attendance clerk, and a general office clerk. (AR at 16-17, 18-19). Accordingly, the ALJ concluded that plaintiff was not disabled from January 15, 2005, the alleged disability onset date, through the date of his decision. (AR at 13, 19). The Appeals Council denied plaintiff's timely request for review of the ALJ's decision. (See AR at 6, 9).

Thereafter, plaintiff appealed to the United States District Court.

¹ Specifically, the ALJ found that plaintiff could lift and carry 50 pounds occasionally and 25 pounds frequently, and could sit, stand, and walk for six hours in an eight-hour day, but could not perform any overhead reaching bilaterally and should avoid hazards such as heights and machinery. (AR at 16-17).

PLAINTIFF'S CONTENTIONS

Plaintiff makes the following claims:

1. The ALJ failed to properly consider the consultative psychological evaluation.
2. The ALJ failed to pose a complete hypothetical to the vocational expert.
3. The ALJ failed to establish that plaintiff was capable of performing work as a change person, income tax preparer, fast food worker, attendance clerk and a general office clerk.
4. The ALJ failed to properly consider the type, dosage, effectiveness, and side effects of plaintiff's medication.

STANDARD OF REVIEW

Under 42 U.S.C. Section 405(g), this Court reviews the ALJ's decision to determine whether the ALJ's findings are supported by substantial evidence and whether the proper legal standards were applied. DeLorme v. Sullivan, 924 F.2d 841, 846 (9th Cir. 1991). Substantial evidence means "more than a mere scintilla" but less than a preponderance. Richardson v. Perales, 402 U.S. 389, 401 (1971); Saelee v. Chater, 94 F.3d 520, 521-22 (9th Cir. 1996).

Substantial evidence is "such relevant evidence as a reasonable mind might accept as adequate to support a conclusion." Richardson, 402 U.S. at 401. This Court must review the record as a whole and consider adverse as well as supporting evidence. Morgan v. Comm'r, 169 F.3d 595, 599 (9th Cir. 1999). Where evidence is susceptible to more than one rational interpretation, the ALJ's decision must be upheld. Robbins v. Soc. Sec. Admin., 466 F.3d 880, 882 (9th Cir. 2006).

DISCUSSION

A. The Sequential Evaluation

The Social Security Act defines disability as the "inability to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or . . . can be expected to last for a continuous period of not

1 less than 12 months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). The Commissioner has
2 established a five-step sequential process to determine whether a claimant is disabled. 20
3 C.F.R. §§ 404.1520, 416.920.

4 The first step is to determine whether the claimant is presently engaging in substantial
5 gainful activity. Parra v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007). If the claimant is engaging
6 in substantial gainful activity, disability benefits will be denied. Bowen v. Yuckert, 482 U.S. 137,
7 141 (1987). Second, the ALJ must determine whether the claimant has a severe impairment.
8 Parra, 481 F.3d at 746. Third, the ALJ must determine whether the impairment is listed, or
9 equivalent to an impairment listed, in Appendix I of the regulations. Id. If the impediment meets
10 or equals one of the listed impairments, the claimant is presumptively disabled. Bowen, 482
11 U.S. at 141. Fourth, the ALJ must determine whether the impairment prevents the claimant
12 from doing past relevant work. Pinto v. Massanari, 249 F.3d 840, 844-45 (9th Cir. 2001). If the
13 claimant cannot perform his or her past relevant work, the ALJ proceeds to the fifth step and
14 must determine whether the impairment prevents the claimant from performing any other
15 substantial gainful activity. Moore v. Apfel, 216 F.3d 864, 869 (9th Cir. 2000).

16 The claimant bears the burden of proving steps one through four, consistent with the
17 general rule that at all times, the burden is on the claimant to establish his or her entitlement
18 to disability insurance benefits. Parra, 481 F.3d at 746. Once this prima facie case is
19 established by the claimant, the burden shifts to the Commissioner to show that the claimant
20 may perform other gainful activity. Lounsbury v. Barnhart, 468 F.3d 1111, 1114 (9th Cir.
21 2006).

22 **B. Plaintiff's Mental Limitations**

23 Plaintiff asserts that the ALJ failed to properly consider the consultative psychological
24 evaluation of plaintiff performed by Harrell Reznick, Ph.D. Specifically, plaintiff argues that the
25 ALJ failed to properly indicate whether he accepted the mental limitations that Dr. Reznick
26 found. (See Joint Stipulation at 3-4, 6). Defendant argues that Dr. Reznick found that plaintiff
27 had no medically determinable mental impairment and assessed no mental functional
28 limitations. Defendant further argues that the ALJ implicitly accepted Dr. Reznick's opinion in

1 determining plaintiff's residual functional capacity. (See Joint Stipulation at 4-6).

2 Dr. Reznick submitted a summary report of a psychological evaluation of plaintiff, dated
3 October 23, 2006. (AR at 310-16). Among his general observations, Dr. Reznick noted that
4 plaintiff "presented with what appeared to be a sub-optimal effort throughout this evaluation,
5 resulting in test performances that seem to underestimate her actual levels of functioning." (AR
6 at 310). Dr. Reznick noted that plaintiff reported that she had a seizure disorder that began in
7 November 2003, when she had two brain tumors excised, and, as a result, she experienced
8 routine lapses in attention, concentration, and memory. (AR at 311). Plaintiff also reported that
9 she experienced panic attacks, "often, when I try to sleep," obsessive-compulsive processes
10 regarding eating and food, and visual and auditory hallucinations, in the form of seeing "things
11 moving," and hearing ringing in her ears and voices talking to her. (Id.). Dr. Reznick noted,
12 however, that plaintiff did not present with any discernible psychotic processes during the
13 evaluation. (Id.). Further, although plaintiff reported feelings of depression with recurrent
14 suicidal ideation, she reported no history of actual suicide attempts.² (Id.). Plaintiff also
15 reported impaired sleep patterns, with initial insomnia and multiple awakenings throughout the
16 night, but denied any loss of appetite. (Id.). Dr. Reznick also noted that, according to plaintiff,
17 she was unable to perform even simple household chores, she could only cook simple meals
18 independently, she was able to run errands independently, but could not go shopping alone with
19 more complex lists of items. (AR at 312). She also reported that she could perform all self-care
20 activities independently, such as dressing and bathing herself, and could handle her own
21 financial affairs without assistance. (AR at 313).

22 With respect to plaintiff's mental status examination, Dr. Reznick observed that plaintiff
23 was oriented in all dimensions, spoke clearly and was able to understand test instructions and
24 interview questions without difficulty, appeared neither hyperactive nor distractible, exhibited

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26 ² Dr. Reznick also noted that plaintiff reported that she participated in outpatient mental health
27 interventions in 1980 and was depressed at that time. (AR at 311). Plaintiff participated in the programs
28 for about a year and was prescribed Zoloft, an anti-depressant medication. Plaintiff reported that she
took Zoloft for about a year, but had not taken any psychotropic medications in the last 25 years. She
also denied any history of psychiatric hospitalizations. (Id.).

1 normal mood and affect, with no evidence of thought disturbance, displayed adequate
 2 commonsense judgment for her age or for the general population, and presented with an intact
 3 fund of information for the general population. (AR at 313). Dr. Reznick noted again, however,
 4 that plaintiff “appeared to exert a sub-optimal effort throughout the evaluation.” (Id.). The
 5 results of plaintiff’s mental status examination indicated that she was able to state and spell her
 6 first and last names; she knew the current date, but purportedly did not know the current day
 7 of the week; she purportedly did not know her age, but knew her birthday; she knew the name
 8 of the current president of the United States, but allegedly did not know the name of the
 9 previous president; she was able to count backward from 20 to 1; she calculated serial three
 10 additions from 3 to 30 without error and knew all the letters of the alphabet in the correct
 11 sequence; her verbal abstraction was reportedly marginal;³ and she ostensibly could not spell
 12 the word “world” backwards and claimed to recall only two out of three designated objects in
 13 the examination room after an interval of only five minutes. (AR at 314).

14 Dr. Reznick performed Trial II of the Test of Memory Malinger and found that plaintiff
 15 scored 34 out of 50, which was below the cutoff score of less than 45, which was “suggestive
 16 of malingering, and consequently, indicate[d] a high probability of malingering.” (Id.).

17 Dr. Reznick also administered the Bender Visual-Motor Gestalt II test and noted that
 18 plaintiff scored a 96, which was within the average range of current visual-motor functioning for
 19 plaintiff’s age group. (Id.). Dr. Reznick noted, however, that, given evidence that plaintiff
 20 exerted a sub-optimal effort elsewhere during the examination, her standard score of 96 “should
 21 be construed as a minimal valid estimate of [plaintiff’s] actual levels of visual-motor
 22 integration[.]” (Id.). The results of the “Trailmaking Test, Parts A and B” suggested a sub-
 23 optimal effort rather than neuropsychological impairment.⁴ (Id.). The results of plaintiff’s IQ

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 25 ³ As an example, Dr. Reznick noted that plaintiff knew that an orange and a banana are alike, but
 26 interpreted proverbs concretely. (AR at 314).

27 ⁴ Dr. Reznick noted that plaintiff “completed Part A in slow 58 seconds with one error. Part B was
 28 discontinued after 60 seconds, during which [plaintiff] committed 4 errors while professing progressive
 confusion. In addition, [plaintiff] had only committed a small fraction of this part of the Trailmaking test.”
 (continued...)

1 tests (Wechsler Adult Intelligence Scale-III ("WAIS-III")) showed that plaintiff's verbal IQ was
2 72 (borderline range), her performance IQ was 69 (mildly mentally retarded range), and her full
3 scale IQ was 68 (mildly mentally retarded range). (AR at 315). Dr. Reznick noted, however,
4 that plaintiff displayed at least average language facility, including intact verbal comprehension
5 and an ability to carry on normal conversation with him. Plaintiff was also able to provide a
6 detailed and coherent history during her subsequent interview. Dr. Reznick stated, "These
7 capabilities suggest significantly higher intellectual functioning" than indicated by her IQ
8 estimates. (Id.). Dr. Reznick also noted that the results of plaintiff's test for memory (Wechsler
9 Memory Scale-III ("WMS-III")) were "spuriously low" and appeared "inconsistent with [plaintiff's]
10 ability to recall multiple specific details in her history." (Id.).

11 Given plaintiff's test results and the clinical data, Dr. Reznick determined that plaintiff had
12 no diagnosable mental impairment. (AR at 316). Dr. Reznick concluded his summary report
13 with the following functional assessment:

14 [Plaintiff] can perform simple and repetitive tasks with minimal
15 supervision and is able to perform these tasks with appropriate
16 persistence and pace over a normal work cycle. She is able to
17 understand, remember and carry out at least simple to moderately
18 complex verbal instructions without difficulty. She can tolerate
19 ordinary work pressures and is able to interact satisfactorily with
20 others in the workplace, including the general public. She can
21 observe basic work and safety standards in the workplace without
22 difficulty. She is also capable of handling her own financial affairs
23 independently.

24 (Id.).

25 In his decision, the ALJ summarized the results of Dr. Reznick's psychological evaluation
26 and his opinion regarding plaintiff's functional capabilities, and noted that the medical evidence

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28 ⁴(...continued)
(AR at 314).

1 in the record supported a finding that plaintiff “has no medically determinable mental impairment
 2 that cause[s] more than minimal limitations.”⁵ (AR at 15-16). The ALJ concluded that plaintiff’s
 3 headaches, neck pain, history of seizure disorder, urinary incontinence and hypertension
 4 constituted severe impairments, but that plaintiff did not have a medically determinable mental
 5 impairment. (AR at 16). The ALJ ultimately determined that plaintiff retained the physical
 6 residual functional capacity to perform medium work and, specifically, was capable of lifting and
 7 carrying 50 pounds occasionally and 25 pounds frequently, and sitting, standing and walking
 8 for six hours in an eight-hour day, but could not perform any overhead reaching bilaterally and
 9 should avoid hazards such as heights and machinery. (AR at 16-17).

10 Here, plaintiff does not argue that the ALJ erred in determining that she had no medically
 11 determinable mental impairment. Rather, plaintiff argues only that the ALJ offered no statement
 12 as to whether he accepted or rejected the limitations Dr. Reznick imposed on plaintiff,
 13 specifically, that she could perform simple and repetitive tasks with minimal supervision, she
 14 was able to perform these tasks with appropriate persistence and pace over a normal work
 15 cycle, and remained capable of understanding, remembering, and carrying out at least simple
 16 to moderately complex verbal instructions without difficulty. (Joint Stipulation at 3-4). To the
 17 extent the ALJ rejected Dr. Reznick’s opinion regarding plaintiff’s limitations, plaintiff asserts
 18 that the ALJ failed to provide specific and legitimate reasons, supported by substantial
 19 evidence, for rejecting his opinion. (Joint Stipulation at 3-4). Defendant argues that, rather than
 20 describing plaintiff’s mental functional limitations, Dr. Reznick described only the least that

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 22 ⁵ During the December 4, 2007 hearing, although plaintiff indicated that she had some memory
 23 problems, problems focusing and concentrating, and had depression (see AR at 32, 33, 34, 35, 43; see
 24 also AR at 116, 138, 142, 145, 146, 150, 160, 228, 290, 340-44), as noted by defendant, the record is
 25 not inconsistent with the absence of a mental impairment. (See Joint Stipulation at 5). The record does
 26 not indicate that plaintiff had been diagnosed by any treating source with a mental impairment or mental
 27 functional limitations, and Dr. Reznick and a State Agency medical consultant found no mental
 28 impairment. (See AR at 153, 201, 316, 317, 327). Indeed, plaintiff testified at the hearing, and the ALJ
 noted, that she had not received any mental health treatment “lately” and was not taking any mental
 health medication at that time. (AR at 18, 43-44). Additionally, during Dr. Reznick’s psychological
 evaluation, plaintiff reported that she had participated in outpatient mental health interventions in 1980
 for about a year and was depressed at that time because she “had a bad marriage and divorce,” and
 had been prescribed the anti-depressant Zoloft, but reported that she had not taken any psychotropic
 medications in the last 25 years and denied any history of psychiatric hospitalizations. (AR at 311).

1 plaintiff could do and the ALJ's residual functional capacity determination indicates that he
2 impliedly accepted Dr. Reznick's opinion. (Joint Stipulation at 4-6).

3 The Court concurs with defendant. Dr. Reznick did not diagnose plaintiff with any mental
4 impairment. He noted several times in his summary report that plaintiff exerted a sub-optimal
5 effort throughout the evaluation, which he opined resulted in test performances that
6 underestimated her actual levels of functioning, and specifically found that plaintiff's scores for
7 the Test of Memory Malingering "indicate[d] a high probability of malingering." (See AR at 310,
8 313, 314). He stated that plaintiff's score with regard to the Bender Visual-Motor Gestalt II test
9 should be construed as a minimum estimate of her actual levels of visual-motor integration, the
10 results of the "Trailmaking Test, Parts A and B" suggested a sub-optimal effort rather than
11 neuropsychological impairment and, given that plaintiff's language facility was at least average
12 and she was able to provide a detailed, coherent history during the examination, plaintiff had
13 significantly higher intellectual functioning than her IQ estimates indicated. (AR at 314, 315).
14 The results of plaintiff's test for memory was also "spuriously low" and were inconsistent with
15 her ability to recall specific details in her history. (AR at 315). Considering Dr. Reznick's
16 summary report as a whole, his functional assessment was clearly a statement of plaintiff's
17 minimum mental functional capabilities in light of her sub-optimal effort throughout the
18 evaluation and high probability of malingering, and not his opinion of her functional limitations.
19 (See AR at 316). Cf. Robbins, 466 F.3d at 882 ("If the evidence can support either affirming
20 or reversing the ALJ's conclusion, we may not substitute our judgment for that of the ALJ.");
21 Magallanes, 881 F.2d at 750 (ALJ's decision must be upheld where the evidence is susceptible
22 to more than one rational interpretation).

23 Moreover, the ALJ may rely upon the opinion of a consultative examiner, such as Dr.
24 Reznick, in order to determine a claimant's residual functional capacity if the opinion is
25 supported by clinical tests and observations upon examination. See Tonapetyan v. Halter, 242
26 F.3d 1144, 1149 (9th Cir. 2001) (examining physician's opinion may constitute substantial
27 evidence when based on independent clinical findings and examination); Andrews v. Shalala,
28 53 F.3d 1035, 1041 (9th Cir. 1995). The ALJ is charged with determining a claimant's residual

1 functional capacity based on an evaluation of the evidence as a whole, see 20 C.F.R. §§
2 404.1546, 416.945; Magallanes v. Bowen, 881 F.2d 747, 750 (9th Cir. 1989), and an ALJ may
3 reject all or part of an examining physician's report if it contains inconsistencies, is conclusory,
4 or inadequately supported by clinical findings. Thomas v. Barnhart, 278 F.3d 947, 957 (9th Cir.
5 2002).

6 In his decision, the ALJ implicitly relied on Dr. Reznick's findings regarding plaintiff's
7 minimum functional capabilities in concluding that plaintiff had no medically determinable
8 mental impairment that caused more than minimal functional limitations. (See AR at 16). The
9 ALJ's reliance on Dr. Reznick's findings is also evident in his assessment of plaintiff's residual
10 functional capacity, in that mental functional restrictions are entirely absent from the
11 assessment. (See AR at 16-17). The ALJ's residual functional capacity assessment is not
12 contrary to Dr. Reznick's mental functional assessment given that Dr. Reznick described the
13 least plaintiff could perform. Thus, the Court concludes that the ALJ did not err in his
14 consideration of Dr. Reznick's opinion, and any error in omitting an explicit statement that he
15 adopted Dr. Reznick's functional assessment was harmless and, thus, cannot serve as the
16 basis for remand. See Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005) (a decision of the
17 ALJ will not be reversed for errors that are harmless); see also Stout v. Comm'r, 454 F.3d 1050,
18 1055 (9th Cir. 2006) (harmless error occurs where alleged mistake is nonprejudicial to
19 claimant).

20 **C. The Hypothetical Question Posed to the Vocational Expert**

21 Plaintiff argues that the ALJ failed to pose a complete hypothetical to the vocational
22 expert at the December 4, 2007 hearing. Citing to Dr. Reznick's functional assessment, plaintiff
23 argues that the ALJ failed to incorporate into his hypothetical the limitations that plaintiff could
24 perform simple and repetitive tasks with only minimal supervision and with appropriate
25 persistence and pace over a normal work cycle, and was able to understand, remember and
26 carry out at least simple to moderately complex verbal instructions without difficulty. (See Joint
27 Stipulation at 6-7; AR at 316). Thus, plaintiff argues that the ALJ's omission of these limitations
28 from the hypothetical question prevented the vocational expert from properly assessing whether

1 plaintiff could perform and sustain full time competitive work and, due to her "significant
2 limitations," the vocational base is likely to be diminished entirely. (See Joint Stipulation at 7,
3 8).

4 In order for the vocational expert's response to a hypothetical question to constitute
5 substantial evidence, the hypothetical must be based on medical assumptions supported by
6 substantial evidence in the record that reflect each of the claimant's limitations. Andrews v.
7 Shalala, 53 F.3d 1035, 1044 (9th Cir. 1995); Roberts v. Shalala, 66 F.3d 179, 184 (9th Cir.
8 1995). The hypothetical should be "accurate, detailed and supported by the medical record."
9 Tackett v. Apfel, 180 F.3d 1094, 1101 (9th Cir. 1999). The ALJ "is free to accept or reject
10 restrictions in a hypothetical question that are not supported by substantial evidence." Greger
11 v. Barnhart, 464 F.3d 968, 973 (9th Cir. 2006) (citing Osenbrock v. Apfel, 240 F.3d 1157, 1164-
12 65 (9th Cir. 2001)).

13 As discussed in Section B, supra, Dr. Reznick's functional assessment was a statement
14 of plaintiff's minimum mental functional capabilities, not her functional limitations. (See AR at
15 316). Plaintiff does not cite to any other medical evidence suggesting that she had mental
16 functional limitations that interfered with her ability to do basic work activity. Thus, the omission
17 of plaintiff's assessed minimum capabilities from the hypothetical posed to the vocational expert
18 does not constitute error. See Andrews, 53 F.3d at 1044 (ALJ's hypothetical must consider all
19 of the claimant's limitations); Magallanes, 881 F.2d at 756-57 (an ALJ need only include
20 limitations that are supported by substantial evidence in the record). Because the hypothetical
21 included the limitations that the ALJ found credible and supported by substantial evidence in
22 the record, the ALJ properly relied on the testimony of the vocational expert in response to the
23 hypothetical as substantial evidence in making the disability determination.

24 **D. Plaintiff's Past Relevant Work**

25 Plaintiff argues that the ALJ erred in determining that she is capable of performing her
26 past relevant work as a change person, income tax preparer, fast food worker, attendance
27 clerk, and a general office clerk. (See Joint Stipulation at 8-11, 14).

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In support of his conclusion that plaintiff is capable of performing her past work, the ALJ summarized the vocational expert's testimony classifying plaintiff's past relevant work experience pursuant to the Dictionary of Occupational Titles ("DOT"). (AR at 18-19). The ALJ noted that plaintiff's past work as an office clerk, routine (Clerk, General, DOT No. 209.562-010, available at 1991 WL 671792) was light⁶ and semi-skilled (sedentary as performed) with a Specific Vocational Preparation ("SVP")⁷ of three; change person (Change Person, DOT No. 211.467-034, available at 1991 WL 671854) was medium⁸ and unskilled with a SVP of

⁶ The DOT assigns each occupation that it chronicles a Physical Demands Strength Rating which reflects the estimated overall strength requirement of the job which are considered to be important for average, successful work performance. The DOT defines light work as follows:

Exerting up to 20 pounds of force occasionally, and/or up to 10 pounds of force frequently, and/or a negligible amount of force constantly (Constantly: activity or condition exists 2/3 or more of the time) to move objects. Physical demand requirements are in excess of those for Sedentary Work. Even though the weight lifted may be only a negligible amount, a job should be rated Light Work: (1) when it requires walking or standing to a significant degree; or (2) when it requires sitting most of the time but entails pushing and/or pulling of arm or leg controls; and/or (3) when the job requires working at a production rate pace entailing the constant pushing and/or pulling of materials even though the weight of those materials is negligible.

Dictionary of Occupational Titles, Appendix C; see also 1991 WL 671792. Sedentary work is defined as:

Exerting up to 10 pounds of force occasionally (Occasionally: activity or condition exists up to 1/3 of the time) and/or a negligible amount of force frequently (Frequently: activity or condition exists from 1/3 to 2/3 of the time) to lift, carry, push, pull, or otherwise move objects, including the human body. Sedentary work involves sitting most of the time, but may involve walking or standing for brief periods of time. Jobs are sedentary if walking and standing are required only occasionally and all other sedentary criteria are met.

Dictionary of Occupational Titles, Appendix C.

⁷ SVP is defined as the amount of lapsed time required by a typical worker to learn the techniques, acquire the information, and develop the facility needed for average performance in a specific job-worker situation. A level three SVP indicates that over one month, up to and including three months, is the amount of time necessary for a typical worker to become accustomed to the special conditions of the new job. Dictionary of Occupational Titles, Appendix C; see also 1991 WL 671792.

⁸ The DOT defines medium work as follows:

(continued...)

two⁹; income tax preparer (Tax Preparer, DOT No. 219.362-070, available at 1991 WL 671965), was sedentary and semi-skilled with a SVP of four¹⁰; fast food worker (Fast-Foods Worker, DOT No. 311.472-010, available at 1991 WL 672682) was light and unskilled with a SVP of two; attendance clerk (Attendance Clerk, DOT No. 219.362-014, available at 1991 WL 671954) was sedentary and skilled with a SVP of six¹¹; and clerk, office general (Administrative Clerk, DOT No. 219.362-010, available at 1991 WL 671953) was light and semi-skilled with a SVP of four. (AR at 18-19; see AR at 45-46). The ALJ noted that the vocational expert testified that these work activities do not require the performance of work-related activities precluded by plaintiff's residual functional capacity and concluded:

In comparing [plaintiff's] residual functional capacity with the physical and mental demands of these work activities, the

undersigned finds that [plaintiff] is able to perform all of her past work activities as they were actually and generally performed.

(AR at 19).

Plaintiff now argues that the requirements of her past work activities exceed the limitations assessed by Dr. Reznick because they do not entail simple, repetitive tasks and they

⁸(...continued)

Exerting 20 to 50 pounds of force occasionally, and/or 10 to 25 pounds of force frequently, and/or greater than negligible up to 10 pounds of force constantly to move objects. Physical Demand requirements are in excess of those for Light Work.

Dictionary of Occupational Titles, Appendix C; see also 1991 WL 671854.

⁹ A level two SVP indicates that anything beyond short demonstration, up to and including 1 month, is the amount of time necessary for a typical worker to become accustomed to the special conditions of the new job. Dictionary of Occupational Titles, Appendix C; see also 1991 WL 671854.

¹⁰ A level four SVP indicates that over 3 months, up to and including 6 months, is the amount of time necessary for a typical worker to become accustomed to the special conditions of the new job. Dictionary of Occupational Titles, Appendix C; see also 1991 WL 671965.

¹¹ A level six SVP indicates that over 1 year, up to and including 2 years, is the amount of time necessary for a typical worker to become accustomed to the special conditions of the new job. Dictionary of Occupational Titles, Appendix C; see also 1991 WL 671954.

1 require dealing with people and attaining precise set limits, tolerances, and standards. (Joint
2 Stipulation at 10). Plaintiff argues that the limitations assessed by Dr. Reznick and the ALJ's
3 determination that plaintiff could perform her past work conflict with the reasoning levels
4 required by her past work activities, as set forth in the DOT. (See Joint Stipulation at 10-11).

5 Again, as previously discussed, Dr. Reznick did not assess any functional limitations, but,
6 rather, described plaintiff's minimum mental functional capabilities. (See AR at 316). See supra
7 Section B. Thus, to the extent plaintiff argues that Dr. Reznick assessed limitations on plaintiff's
8 mental functional abilities that conflict with the DOT requirements for her past work activities,
9 plaintiff's argument fails. Similarly, insofar as plaintiff argues that the ALJ's assessed residual
10 functional capacity, which contains no mental functional limitations, conflicts with the
11 requirements of her past relevant work, this argument also fails. The ALJ properly relied on the
12 vocational expert's testimony in concluding that plaintiff could perform her past relevant work.

13 Moreover, even if the Court construed Dr. Reznick's functional assessment as a
14 statement of her mental functional limitations rather than her minimum abilities, and found that
15 plaintiff was limited to performing simple and repetitive tasks, the limitation would not prevent
16 plaintiff from performing all of her past relevant work. (See Joint Stipulation at 11-14). The
17 vocational expert opined, and the ALJ concluded, that plaintiff could perform the occupations
18 of change person and fast food worker, which both required reasoning level two. (See AR at
19 18, 46). See 1991 WL 671854; 1991 WL 672682. Reasoning level two is defined as the ability
20 to "[a]pply commonsense understanding to carry out detailed but uninvolved written or oral
21 instructions" and "[d]eal with problems involving a few concrete variables in or from
22 standardized situations." Dictionary of Occupational Titles, Fourth Edition, 1991, Appendix C.
23 Performing simple and repetitive tasks is not inconsistent with the job requirements of change
24 person and fast food worker as the DOT defines these jobs. Indeed, the ability to perform
25 simple and repetitive tasks is consistent with reasoning level two. See Hackett v. Barnhart, 395
26 F.3d 1168, 1176 (10th Cir. 2005) (stating that reasoning level two appears more consistent with
27 the capacity to perform "simple and routine work tasks"); Meissl v. Barnhart, 403 F. Supp. 2d
28 981, 983-85 (C.D. Cal. 2005) (holding that reasoning level two jobs are consistent with the

ALJ's limitation to simple, repetitive tasks); Flaherty v. Halter, 182 F. Supp. 2d 824, 850-51 (D. Minn. 2001) (holding that DOT's reasoning level two requirement did not conflict with the ALJ's limitation to "simple, routine, repetitive, concrete, tangible tasks").¹² Further, the requirement that plaintiff be able to carry out instructions that are "detailed but uninvolved" to perform reasoning level two jobs would not change this conclusion. See Meissl, 403 F. Supp. 2d at 984-85 ("Although the DOT definition does state that the job requires the understanding to carry out detailed instructions, it specifically caveats that the instructions would be uninvolved - that is, not a high level of reasoning." (citing Flaherty, 182 F. Supp. 2d at 850)). Thus, even if plaintiff was limited to performing simple and repetitive tasks, a determination that she could perform her past work as a change person and a fast food worker would not contradict the DOT's descriptions of those occupations. Plaintiff has failed to satisfy her burden of showing that she can no longer perform her past relevant work. See Pinto, 249 F.3d at 844; see also 20 C.F.R. §§ 404.1520(f), 416.920(f) ("If you can still do [your past relevant work], we will find that you are not disabled.").

E. The Side Effects of Plaintiff's Medications

Plaintiff argues that the ALJ failed to properly consider the type, dosage, effectiveness, and side effects of her medication. (See Joint Stipulation at 14-15). In a function report completed by plaintiff on November 4, 2006, plaintiff stated that she wakes up in the morning, eats breakfast when she is hungry, takes medication and "wait[s] to get past some side effect."

¹² See also Hine v. Astrue, 2008 WL 4813883, at *4 (C.D. Cal. Nov. 3, 2008) (rejecting plaintiff's argument that reasoning level two requirements conflicted with her restriction to simple, repetitive work, as well as her moderate limitation in her ability to understand, remember, and carry out detailed instructions, and stating that, "[w]here there is a finding . . . that a claimant can perform simple tasks with 'some element of repetitiveness to them,' then [Reasoning] Level 1 on the DOT scale requires slightly less than this level of reasoning. Moreover, although Level 2 reasoning references an ability to follow 'detailed' instructions, it qualifies and 'downplay[s]' the rigorousness of those instructions by labeling them as 'uninvolved.' Accordingly, the DOT's use of the term 'detailed' in describing Level 2 reasoning does not render it inconsistent with a limitation to simple, repetitive tasks." (citations and some internal quotations omitted)); Salazar v. Astrue, 2008 WL 4370056, at *7-8 (C.D. Cal. Sept. 23, 2008) (rejecting argument that limitation to simple, repetitive tasks is inconsistent with level 2 reasoning ability); Squier v. Astrue, 2008 WL 2537129, at *5 (C.D. Cal. June 24, 2008) (stating that "[p]laintiff's limitation to simple, repetitive tasks is not inconsistent with the ability to perform jobs with a reasoning level of two" and concluding that substantial evidence supported the ALJ's determination that plaintiff could perform a significant number of jobs in the regional or national economy).

(AR at 145). Plaintiff also claimed in a disability report that Adalat made her “dizzy” and Dilantin made her “sleepy.” (See AR at 120; see also AR at 163, 178). Further, in a pain questionnaire dated August 31, 2006, plaintiff claimed that Tramadol, which she took for her neck and shoulder pain, made her “sleepy.” (See AR at 123-24).

An ALJ must consider all factors that might have a significant impact on an individual's ability to work. See Erickson v. Shalala, 9 F.3d 813, 817 (9th Cir. 1993) (quoting Varney v. Sec'y, 846 F.2d 581, 585 (9th Cir. 1987), modified by 859 F.2d 1396 (9th Cir. 1988)). These factors may include side effects of medication. Erickson, 9 F.3d at 818; see Varney, 846 F.2d at 585 (“Like pain, the side effects of medications can have a significant impact on an individual's ability to work and should figure in the disability determination process. Also like pain, side effects can be a ‘highly idiosyncratic phenomenon’ and a claimant's testimony as to their limiting effects should not be trivialized.” (citations omitted)); see also Social Security Ruling (“SSR”) ¹³ 96-8p (side effects of medications are a factor to be considered in the formulation of a claimant's residual functional capacity); SSR 96-7p (side effects of medications are a factor to be considered in assessing a claimant's credibility); Lingenfelter v. Astrue, 504 F.3d 1028, 1040 (9th Cir. 2007) (the Social Security Administration requires ALJs to consider all the evidence in the case record in assessing a claimant's subjective pain and symptom testimony, including side effects of any medications). When a plaintiff testifies about experiencing a known side effect associated with a particular medication, the ALJ may disregard the testimony only if he “support[s] that decision with specific findings similar to those required for excess pain testimony.” Varney, 846 F.2d at 585. Moreover, side effects that are not “severe enough to affect [a plaintiff's] ability to work” are properly excluded from consideration. See Osenbrock, 240 F.3d at 1164 (medical records included passing mentions of side effects of medication, but there was no evidence of side effects severe enough to interfere with plaintiff's ability to work). Ultimately, a plaintiff bears the burden of demonstrating

¹³ Social Security Rulings are issued by the Commissioner to clarify the Commissioner's regulations and policies. Bunnell v. Sullivan, 947 F.2d 341, 346 n.3 (9th Cir. 1991) (en banc). Although they do not have the force of law, they are, nevertheless given deference “unless they are plainly erroneous or inconsistent with the Act or regulations.” Han v. Bowen, 882 F.2d 1453, 1457 (9th Cir. 1989).

1 that any claimed side effects from medication are severe enough to interfere with her ability to
 2 work. See Miller v. Heckler, 770 F.2d 845, 849 (9th Cir. 1985) (plaintiff failed to meet burden
 3 of proving medication impaired his ability to work because he produced no clinical evidence);
 4 see also Osenbrock, 240 F.3d at 1164.

5 Here, in determining that plaintiff's statements concerning the intensity, persistence and
 6 limiting effects of her alleged symptoms were not entirely credible, the ALJ noted that plaintiff
 7 did not report any adverse side effects from any prescribed medication. (See AR at 18). Cf.
 8 Johnson v. Shalala, 60 F.3d 1428, 1433-34 (9th Cir. 1995) (finding that ALJ properly discounted
 9 claimant's testimony of excess pain as not credible where, inter alia, ALJ pointed out the conflict
 10 between claimant's testimony that pain and medication interfered with her ability to think and
 11 the fact that she failed to tell her doctor of any mental limitations resulting from her condition);
 12 Eicholtz v. Astrue, 2008 WL 4642976, at *3 (C.D. Cal. Oct. 20, 2008) (stating that "an ALJ may
 13 discount a claimant's credibility based on failure to tell a doctor of the limitations" (citing
 14 Johnson, 60 F.3d at 1434)). Plaintiff has not produced any objective evidence of her side
 15 effects, and there is no evidence in the record indicating that she complained to any treating or
 16 evaluating source of alleged side effects from her medication or that her purported side effects
 17 resulted in any functional limitation that affected her ability to work.¹⁴ See Osenbrock, 240 F.3d
 18 at 1164 (ALJ did not err in excluding side effects because although "[t]here were passing
 19 mentions of the side effects of [the claimant's] medication in some of the medical records, []
 20 there was no evidence of side effects severe enough to interfere with [his] ability to work");
 21 Miller, 770 at 849 (plaintiff failed to meet burden of proving medication impaired his ability to
 22 work because he produced no clinical evidence); see also Parra, 481 F.3d at 746 (the burden
 23 is on the claimant to establish his or her entitlement to benefits). Indeed, at the December 4,
 24 2007 hearing, plaintiff did not mention or discuss any side affects she allegedly suffered from
 25 her medications, much less claim that she was unable to work due to side effects. (See AR at
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 28 ¹⁴ (See, e.g., AR at 188, 199, 200-01, 202, 203, 214, 226, 227, 228, 229, 239, 244, 248, 258, 261,
 272, 273, 282, 290, 311, 340-44).

1 30-44). Thus, plaintiff failed to meet her burden of showing that the purported side effects of
2 her medications impaired her ability to work, and the ALJ committed no material error in his
3 consideration of plaintiff's medication and the purported side effects thereof. Cf. Burch, 400
4 F.3d at 679 (a decision of the ALJ will not be reversed for errors that are harmless).

5
6 **ORDER**

7 After careful consideration of all documents filed in this matter, this Court finds that the
8 decision of the Commissioner is supported by substantial evidence and that the Commissioner
9 applied the proper legal standards. The Court, therefore, AFFIRMS the decision of the
10 Commissioner of Social Security Administration.

11 **LET JUDGMENT BE ENTERED ACCORDINGLY.**

12 DATED: March 31, 2009

13 /s/-Jennifer T. Lum
14 JENNIFER T. LUM
15 UNITED STATES MAGISTRATE JUDGE
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